Department of State Division of Publications

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For Department of State Use Only

Sequence Number: 06-21-12

Rule ID(s): 5207
File Date: 101012

Effective Date: 91612

Rulemaking Hearing Rule(s) Filing Form

Rulemaking Hearing Rules are rules filed after and as a result of a rulemaking hearing. T.C.A. § 4-5-205

| Agency/Board/Commission: | Tennessee Department of Finance and Administration |
|--------------------------|--|
| | Bureau of TennCare |
| Contact Person: | George Woods |
| Address: | 310 Great Circle Road |
| Zip: | 37243 |
| Phone: | (615) 507-6446 |
| Email: | George.woods@tn.gov |

Revision Type (check all that apply):

X Amendments

New

Repeal

Rule(s) Revised (ALL chapters and rules contained in filing must be listed here. If needed, copy and paste additional tables to accommodate multiple chapters. Please enter only ONE Rule Number/Rule Title per row)

| Chapter Number | Chapter Title |
|----------------|--|
| 1200-13-13 | TennCare Medicaid |
| Rule Number | Rule Title |
| 1200-13-1303 | Enrollment, Reassignment, and Disenrollment with Managed Care Contractors (MCCs) |

(Place substance of rules and other info here. Statutory authority must be given for each rule change. For information on formatting rules go to http://state.tn.us/sos/rules/1360/1360.htm)

Part 1. of Subparagraph (b) of Paragraph (2) of Rule 1200-13-13-.03 Enrollment, Reassignment, and Disenrollment with Managed Care Contractors (MCCS) is amended by deleting the word "or" from the end of Subpart (iv) and adding a semicolon ";" and the word "or" and deleting the period "." at the end of Subpart (v) and by adding a new Subpart (vi) so as amended Part 1. shall read as follows:

- 1. The following situations will not be determined to be "hardships":
 - (i) The enrollee is unhappy with the current MCO or primary care provider (PCP), but there is no hardship medical situation (as stated in Part 2 below);
 - (ii) The enrollee claims lack of access to services but the plan meets the state's access standard;
 - (iii) The enrollee is unhappy with a current PCP or other providers, and has refused alternative PCP or provider choices offered by the MCO;
 - (iv) The enrollee is concerned that a current provider might drop out of the plan in the future;
 - (v) The enrollee is a Medicare beneficiary who (with the exception of pharmacy) may utilize choice of providers, regardless of network affiliation; or
 - (vi) The enrollee's PCP is no longer in the MCO's network, the enrollee wants to continue to see the current PCP and has refused alternative PCP or provider choices offered by the MCO.
- Part 2. of Subparagraph (b) of Paragraph (2) of Rule 1200-13-13-.03 Enrollment, Reassignment, and Disenrollment with Managed Care Contractors (MCCS) is amended by deleting "PCP and/or" from Subpart (ii) and deleting "PCP or" from Subpart (iv) so as amended Subparts (ii) and (iv) shall read as follows:
 - (ii) The member's specialist has stopped participating in the member's current MCO network and has refused continuation of care to the member in his current MCO assignment; and
 - (iv) The current MCO has been unable to negotiate continued care for this member with the current specialist; and

The last paragraph of Subparagraph (b) of Paragraph (2) of Rule 1200-13-13-.03 Enrollment, Reassignment, and Disenrollment with Managed Care Contractors (MCCS) is amended by adding a new sentence after the first sentence of the paragraph so as amended the last paragraph of Subparagraph (b) shall read as follows:

Requests to change MCOs submitted by TennCare enrollees shall be evaluated in accordance with the hardship criteria referenced above. If an enrollee's request to change MCOs is granted due to hardship, all family members living in the same household and enrolled in TennCare will be assigned to the new MCO except children determined by the Bureau to be eligible to enroll in TennCare Select. Upon denial of a request to change MCOs, enrollees shall be provided notice and appeal rights as described in applicable provisions of rule 1200-13-13-11.

Statutory Authority: T.C.A. §§ 4-5-202, 71-5-105 and 71-5-109.

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| STATE OF SEEL TENNESSEE | Name of Officer: Darin J. Gordon Director, Bureau of TennCare |
| STATE OF STATE | Director, Bureau of TennCare |
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| | Title of Officer: Tennessee Department of Finance and Administration |
| PUBLI Subscri | bed and sworn to before me on: 5/14/20/2 |
| PALIQSON COST | Notary Public Signature: how & Klint |
| ************************************** | My commission expires on: 913(2012 |
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Public Hearing Comments

One copy of a document containing responses to comments made at the public hearing must accompany the filing pursuant to T.C.A. § 4-5-222. Agencies shall include only their responses to public hearing comments, which can be summarized. No letters of inquiry from parties questioning the rule will be accepted. When no comments are received at the public hearing, the agency need only draft a memorandum stating such and include it with the Rulemaking Hearing Rule filing. Minutes of the meeting will not be accepted. Transcripts are not acceptable.

There were no public comments on these rules.

Regulatory Flexibility Addendum

Pursuant to T.C.A. §§ 4-5-401 through 4-5-404, prior to initiating the rule making process as described in T.C.A. § 4-5-202(a)(3) and T.C.A. § 4-5-202(a), all agencies shall conduct a review of whether a proposed rule or rule affects small businesses.

(If applicable, insert Regulatory Flexibility Addendum here)

The rules are not anticipated to have an effect on small businesses.

Impact on Local Governments

Pursuant to T.C.A. §§ 4-5-220 and 4-5-228 "any rule proposed to be promulgated shall state in a simple declarative sentence, without additional comments on the merits of the policy of the rules or regulation, whether the rule or regulation may have a projected impact on local governments." (See Public Chapter Number 1070 (http://state.tn.us/sos/acts/106/pub/pc1070.pdf) of the 2010 Session of the General Assembly)

The rules are not anticipated to have an impact on local governments.

Additional Information Required by Joint Government Operations Committee

All agencies, upon filing a rule, must also submit the following pursuant to T.C.A. § 4-5-226(i)(1).

(A) A brief summary of the rule and a description of all relevant changes in previous regulations effectuated by such rule;

The rules clarify what hardship criteria a TennCare enrollee must meet to change MCOs.

(B) A citation to and brief description of any federal law or regulation or any state law or regulation mandating promulgation of such rule or establishing guidelines relevant thereto;

The rules are lawfully adopted by the Bureau of TennCare in accordance with §§ 4-5-202, 71-5-105 and 71-5-109.

(C) Identification of persons, organizations, corporations or governmental entities most directly affected by this rule, and whether those persons, organizations, corporations or governmental entities urge adoption or rejection of this rule;

The persons and entities most directly affected by these Rules are the TennCare enrollees, providers and the managed care contractors. The governmental entity most directly affected by these Rules is the Bureau of TennCare, Tennessee Department of Finance and Administration.

(D) Identification of any opinions of the attorney general and reporter or any judicial ruling that directly relates to the rule;

The Rules were approved by the Tennessee Attorney General. No additional opinion was given or requested.

(E) An estimate of the probable increase or decrease in state and local government revenues and expenditures, if any, resulting from the promulgation of this rule, and assumptions and reasoning upon which the estimate is based. An agency shall not state that the fiscal impact is minimal if the fiscal impact is more than two percent (2%) of the agency's annual budget or five hundred thousand dollars (\$500,000), whichever is less;

The promulgation of these rules is not anticipated to have an effect on state and local government revenues and expenditures.

(F) Identification of the appropriate agency representative or representatives, possessing substantial knowledge and understanding of the rule;

Darin J. Gordon Director, Bureau of TennCare

(G) Identification of the appropriate agency representative or representatives who will explain the rule at a scheduled meeting of the committees;

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(H) Office address, telephone number, and email address of the agency representative or representatives who will explain the rule at a scheduled meeting of the committees; and

310 Great Circle Road Nashville, TN 37243 (615) 507-6443 Darin.J.Gordon@tn.gov

| (1) | Any additional information relevant to the rule proposed for continuation that the committee requests. |
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GW10112124

Department of State Division of Publications 312 Rosa L. Parks Avenue, 8th Floor Snodgrass/TN Tower Nashville, TN 37243 Phone: 615-741-2650 Fax: 615-741-5133 Email: register.information@tn.gov For Department of State Use Only Sequence Number: Rule ID(s): File Date: Effective Date:

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| by the (board/commission/ ot compliance with the provisions of T.C.A. § 4-5-222. | | |
|---|--|---|
| I further certify the following: | | |
| Notice of Rulemaking Hearing filed with the Departme | ent of State on: | 03/07/12 |
| Rulemaking Hearing(s) Conducted on: (add more dat | es). <u>05/03/12</u> | |
| Date: | | |
| Signature: | • | |
| Name of Officer: | | |
| Title of Officer: | Director, Bureau of Tennessee Departr | TennCare ment of Finance and Administration |
| Subscribed and sworn to befo | ore me on: | |
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| All rulemaking hearing rules provided for herein have State of Tennessee and are approved as to legality Act, Tennessee Code Annotated, Title 4, Chapter 5. | been examined by the prov | ne Attorney General and Reporter of the isions of the Administrative Procedures |
| | | Robert E. Cooper, Jr. Attorney General and Reporter |
| | | Date |
| Department of State Use Only | | |
| Filed with the Depar | tment of State on: _ | |
| | Effective on: | |
| | | Tre Hargett Secretary of State |

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